

Electronic Acknowledgement Receipt

EFS ID:	4848970
Application Number:	10042371
International Application Number:	
Confirmation Number:	6761
Title of Invention:	Systems and methods for creating and trading dynamic securities
First Named Inventor/Applicant Name:	Andrew C. Gilbert
Correspondence Address:	MARY ANN GILBERT - 5 SCARLET OAK ROAD - CALIFON NJ 07830 US - -
Filer:	Glen Farbanish/LAURA ALTO
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	
Receipt Date:	24-FEB-2009
Filing Date:	27-DEC-2001
Time Stamp:	16:13:53
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180

RAM confirmation Number	1558				
Deposit Account	503938				
Authorized User					
<p>The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)</p>					
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	01-1046_090224_IDS.pdf	24610 1dbee7ff0a27a24ab1d58e545afd064a7eea8e27	no	2
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	01-1046_090224_1449.pdf	24405 0ae60835456e494135d5afc07d79ec4231807a34	no	1
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Fee Worksheet (PTO-06)	fee-info.pdf	29752 9fe686702322eae66ef08043e5e1fe7edb083c15	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			78767		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.